

CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS

[OMB CONTROL NUMBER 1506-0043]

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United State Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any foreign bank that maintains a correspondent account with any U.S. bank or broker-dealer in securities (a covered financial institution as defined in 31 CFR 1010.605(e)). An entity that is not a foreign bank is not required to complete this Certification.

A foreign bank is a bank organized under foreign law and located outside of the United States (see definition at 31 CFR 1010.100(u)). A bank includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 CFR 1010.100(d)).

A correspondent account for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instructions for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United State is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instructions for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for each branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

A. The undersigned financial institution, China Construction Bank Corporation ("Foreign Bank") hereby certifies as follows:

¹ A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

B. Correspondent Accounts Covered by this Certification: Check one box.

☒ This Certification applies to **all** accounts established for Foreign Bank by Covered Financial Institutions.

☐ This Certification applies to Correspondent Accounts established by _____ (name of Covered Financial Institution(s)) for Foreign Bank.

C. Physical Presence/Regulated Affiliate Status: Check one box and complete the blanks.

☒ Foreign Banks maintains a **physical presence** in any country. That means:

- Foreign Bank has a place of business at the following street address: No. 25, Finance Street, Xicheng District, Beijing 100033, where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
- The above address is in The People's Republic of China (insert country), where Foreign Bank is authorized to conduct banking activities.
- Foreign Bank is subject to inspection by China Banking and Insurance Regulatory Commission and The People's Bank of China (insert Banking Authority), the banking authority that licensed Foreign Bank to conduct banking activities.



Foreign Bank does not have a physical presence in any country, but Foreign Bank is a **regulated affiliate**. That means:

- Foreign Bank is an affiliate of a depository institution, credit union, or a foreign bank that maintains a physical presence at the following street address: _____, where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities.
- The above address is in _____ (insert country), where the depository institution, credit union, or foreign bank is authorized to conduct banking activities.
- Foreign Bank is subject to supervision by _____, (insert Banking Authority), the same banking authority that regulates the depository institution, credit union, or foreign bank.

☐ Foreign Bank does not have a physical presence in a country and is **not** a regulated affiliate.

D. Indirect Use of Correspondent Accounts: Check box to certify.

☒ No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank

hereby certifies that it does not use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information: Check box 1 or 2 below, if applicable.

- ☐ 1. Form FR Y-7 is on file. Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7.
- ☒ 2. Foreign Bank's shares are publicly traded. Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

If neither box 1 or 2 of Part E is checked, complete Item 3 below, if applicable.

- ☐ 3. Foreign Bank has no owner(s) except as set forth below. For purposes of this Certification, owner means any person who, directly or indirectly, (a) owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification, (i) person means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) voting securities or other voting interests means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family² shall be considered one person.

| Name | Address |
|------|---------|
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² The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account.

F. Process Agent: complete the following.

The following individual or entity: CCB New York Branch
is a resident of the United States at the following street address:
1095 Avenue of Americas, 33rd Floor, New York, 10036, U.S.A., and
is authorized to accept service of legal process on behalf of Foreign Bank from the
Secretary of the Treasury or the Attorney General of the United States pursuant to
Section 5318(k) of title 31, United States Code.

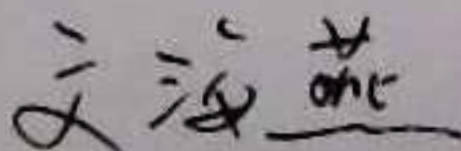
G. General

Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at
which it maintains any Correspondent Account of any change in facts or
circumstances reported in this Certification. Notification shall be given within 30
calendar days of such change.

Foreign Bank understands that each Covered Financial Institution at which it
maintains a Correspondent Account may provide a copy of this Certification to the
Secretary of the Treasury and the Attorney General of the United States. Foreign
Bank further understands that the statements contained in this Certification may be
transmitted to one or more departments or agencies of the United States of America
for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Wen Haiyan (name of signatory), certify that I have read and understand this
Certification, that the statements made in this Certification are complete and correct,
and that I am authorized to execute this Certification on behalf of Foreign Bank.

China Construction Bank Corporation
[Name of Foreign Bank]



[Signature]

Wen Haiyan
[Printed Name]

Deputy General Manager
[Title]

Executed on this 15 day of September, 2022.

Received and reviewed by:

Name: Michael Cunningham
Title: FVP - KYC Manager
For: CCBNY
[Name of Covered Financial Institution]

Date: 12/22/2022

[67 FR 60573, Sept. 26, 2002]

ATTACHMENT TO CHINA CONSTRUCTION BANK (CCB)
GLOBAL CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS
FOR CCB BRANCHES, OFFICES AND SUBSIDIARIES

| NAME | ADDRESS | AUTHORITY |
|-------------------------|---|---|
| Anhui Branch | No. 253, Huizhou Road, Hefei | CBRC Anhui Office Hefei Central Sub-branch, Nanjing Branch, PBOC |
| | Postcode: 230001 | |
| Beijing Branch | No.4, Building 28, Xuanwumen West Street, Beijing | CBRC Beijing Office Business Management Department of the People's Bank of China |
| | Postcode: 100053 | |
| Chongqing Branch | No. 123, Minzu Road, Yuzhong District, Chongqing | CBRC Chongqing Office Chongqing Business Management Department of the People's Bank of China |
| | Postcode: 400010 | |
| Dalian Branch | No. 1, Jiefang Street, Zhongshan District, Dalian | CBRC Dalian Office Dalian Central Sub-branch, Shenyang Branch, PBOC |
| | Postcode: 116001 | |
| Fujian Branch | No.142, Guping Road, Fuzhou | CBRC Fujian Office Fuzhou Central Sub-branch, Shanghai Branch, PBOC |
| | Postcode: 350003 | |
| Gansu Branch | No. 77, Qin'an Road, Lanzhou | CBRC Gansu Office Lanzhou Central Sub-branch, Xian Branch, PBOC |
| | Postcode: 730030 | |
| Guangdong Branch | No. 509, Dongfeng Middle Road, Guangzhou | CBRC Guangdong Office PBOC Guangzhou Branch |
| | Postcode: 510045 | |
| Guangxi Branch | No. 92, Minzu Road, Nanning | CBRC Guangxi Office Nanning Central Sub-branch, Guangzhou Branch, PBOC |
| | Postcode: 530022 | |

| NAME | ADDRESS | AUTHORITY |
|---------------------|---|---|
| Guizhou Branch | No. 56, Zhonghua North Road, Guiyang | CBRC Guizhou Office Guiyang Central Sub-branch, Chengdu Branch, PBOC |
| | Postcode: 550001 | |
| Hainan Branch | CCB Plaza, Guomao Avenue, Haikou | CBRC Hainan Office Haikou Central Sub-branch, Guangzhou Branch, PBOC |
| | Postcode: 570125 | |
| Hebei Branch | No. 40, Ziqiang Road, Shijiazhuang | CBRC Hebei Office Shijiazhuang Central Sub-branch, Tianjin Branch, PBOC |
| | Postcode: 050000 | |
| Henan Branch | No.80, Huayuan Road, Zhengzhou | CBRC Henan Office Zhengzhou Central Sub-branch , Jinan Branch, PBOC |
| | Postcode: 450003 | |
| Heilongjiang Branch | No. 67, Hongjun Street, Nan' gang District, Harbin | CBRC Heilongjiang Office Harbin Central Sub-branch, Shenyang Branch, PBOC |
| | Postcode: 150001 | |
| Hubei Branch | No. 709, Jianshe Street, Wuhan | CBRC Hubei Office PBOC Wuhan Branch |
| | Postcode: 430015 | |
| Hunan Branch | Yin'gang Plaza, No. 2, Baisha Road, Changsha | CBRC Hunan Office Changsha Central Sub-branch, Wuhan Branch, PBOC |
| | Postcode: 410005 | |
| Jilin Branch | No. 810, Xi'an Road, Changchun | CBRC Jilin Office Changchun Central Sub-branch, Shenyang Branch, PBOC |
| | Postcode: 130061 | |
| Jiangsu Branch | No. 188, Hongwu Road, Nanjing | CBRC Jiangsu Office PBOC Naging Branch |
| | Postcode: 210002 | |

| NAME | ADDRESS | AUTHORITY |
|------------------------------|---|--|
| Jiangxi Branch | No. 366, Bayi Street, Nanchang | CBRC Jiangxi Office Nanchang Central Sub-branch, Wuhan Branch, PBOC |
| | Postcode: 330006 | |
| Liaoning Branch | No. 176, Zhongshan Road, Heping District, Shenyang | CBRC Liaoning Office PBOC Shenyang Branch |
| | Postcode: 110002 | |
| Inner Mongolia Branch | No. 9, Zhao Wuda Street, Huhhot | CBRC Inner Mongolia Office Huhhot Central Sub-branch, Tianjin Branch, PBOC |
| | Postcode: 010010 | |
| Ningbo Branch | No. 31, Guangji Street, Ningbo | CBRC Ningbo Office Ningbo Central Sub-branch of PBOC Shanghai Branch |
| | Postcode: 315010 | |
| Ningxia Branch | No. 98, Nanxun West Road, Yinchuan | CBRC Ningxia Office Yinchuan Central Sub-branch, Xian Branch, PBOC |
| | Postcode: 750001 | |
| Qingdao Branch | No. 71, Guizhou Road, Qingdao | CBRC Qingdao Office Qingdao Central Sub-branch, Jinan Branch, PBOC |
| | Postcode: 266002 | |
| Qinghai Branch | No. 59, West Street, Xining | CBRC Qinghai Office Xining Central Sub-branch, Xian Branch, PBOC |
| | Postcode: 810000 | |
| Shandong Branch | No. 178, Luoyuan Street, Jinan | CBRC Shandong Office PBOC Jinan Branch |
| | Postcode: 250012 | |
| Shaanxi Branch | No. 38, South Guangji Street, Xi'an | CBRC Shaanxi Office PBOC Xian Branch |
| | Postcode: 710002 | |

| NAME | ADDRESS | AUTHORITY |
|-----------------|--|--|
| Shanxi Branch | No. 126, Yingze Street, Taiyuan | CBRC Shanxi Office Taiyuan Central Sub-branch, Tianjin Branch, PBOC |
| | Postcode: 030001 | |
| Shanghai Branch | No.900, Lujiazui Ring Road, Shanghai | CBRC Shanghai Office PBOC Shanghai Branch |
| | Postcode: 200120 | |
| Shenzhen Branch | East Section, Finance Centre, South Hongling Road, Shenzhen | CBRC Shenzhen Office Shenzhen Central Sub-branch, Guangzhou Branch, PBOC |
| | Postcode: 518010 | |
| Sichuan Branch | Sichuan CCB Plaza, No. 86, Tidu Street, Chengdu | CBRC Sichuan Office PBOC Chengdu Branch |
| | Postcode: 610016 | |
| Suzhou Branch | No. 18, Suhua Road, Suzhou | CBRC Suzhou Office Suzhou Central Sub-branch, Nanjing Branch, PBOC |
| | Postcode: 215021 | |
| Tianjin Branch | Plus 1 No.19, Nanjing Road, Hexi District, Tianjin | CBRC Tianjin Office PBOC Tianjin Branch |
| | Postcode: 300203 | |
| Xiamen Branch | No. 98, Lujiang Road, Xiamen | CBRC Xiamen Office Xiamen Central Sub-branch, Shanghai Branch, PBOC |
| | Postcode: 361003 | |
| Tibet Branch | No. 99, Beijing Middle Road, Lhasa | CBRC Tibet Office Lhasa Central Sub-branch, Chengdu Branch, PBOC |
| | Postcode: 850000 | |
| Xinjiang Branch | No. 99, Minzhu Road, Urumqi | CBRC Xinjiang Office Urumqi Central Sub-branch, Xian Branch, PBOC |
| | Postcode: 830002 | |

| NAME | ADDRESS | AUTHORITY |
|-------------------------|---|---|
| Yunnan Branch | CCB Plaza, Jinbi Road, Kunming | CBRC Yunnan Office Kunming Central Sub-branch, Chengdu Branch, PBOC |
| | Postcode: 650021 | |
| Zhejiang Branch | No. 288, Tiayuchang Road, Hangzhou | CBRC Zhejiang Office Hangzhou Central Sub-branch, Shanghai Branch, PBOC |
| | Postcode: 310003 | |
| Hong Kong Branch | CCB Tower,3 Connaught Road Central, Central, Hong Kong | Hong Kong Monetary Authority |
| Singapore Branch | 9 Raffles Place, #33-01/02, Republic Plaza, Singapore 048619 | Monetary Authority of Singapore |
| Frankfurt Branch | Bockenheimer Landstrasse 51-53, 60325 Frankfurt am Main, Germany | Bundesanstalt für Finanzdienstleistungsaufsicht |
| Johannesburg Branch | 95 Grayston Drive, Morningside, SANDTON, SOUTH AFRICA 2196 | South African Reserve Bank |
| Tokyo Branch | West Tower, Otemachi First Square, 5-1, Otemachi 1-chome Chiyoda-ku, Tokyo 100-0004 | Financial Service Agency |
| Seoul Branch | China Construction Bank Tower, 24 Myeongdong 11-gil, Jung-gu, Seoul 04538, Korea | Financial Supervisory Service |
| New York Branch | 1095 Avenue of Americas, 33rd Floor, New York, 10036, U.S.A. | Federal Reserve Bank Federal Reserve Bank of New York New |
| Ho Chi Minh City Branch | 1105 Sailing Tower, 111A Pasteur Street, District 1, Ho Chi Minh City, Vietnam | State Bank of Vietnam |
| Sydney Branch | Level 31, 88 Phillip Street, Sydney, NSW 2000, Australia | The Australian Prudential Regulation Authority (APRA) |
| Taipei Branch | 2/F-A3, No.106, Sec.5, Xinyi Road, Xinyi Dist., Taipei 11047, Taiwan | Taiwan Financial Supervisory Commission |
| Luxembourg Branch | 1 Boulevard Royal L-2449 Luxembourg, Luxembourg | Commission De Surveillance du Secteur Financier |

| NAME | ADDRESS | AUTHORITY |
|--|---|--|
| Macau Branch | No. 61, Avenida de Almeida Ribeiro, Circle Square, 5/FI., Macau | Monetary Authority of Macao |
| China Construction Bank London Branch | 111 Old Broad Street, London, EC2N 1AP, UK | China Banking Regulatory Commission; Prudential Regulation Authority; Financial Conduct Authority |
| China Construction Bank Toronto Branch | 181 BAY STREET SUITE 3650 TORONTO, ON M5J 2T3 | Office of the Superintendent of Financial Institutions |
| China Construction Bank (Europe) S.A. Milano Branch | Viale Della Liberazione 13,Milan,Italy | Bank of Italy |
| CCB (EUROPE)S.A.AMSTERDAM BRANCH | 14th floor, Vinoly Building Gustav Mahlerplein 2, 1082MA, Amsterdam | Commission De Surveillance du Secteur Financier |
| | Postcode:1082MA | |
| China Construction Bank(Europe), S.A.,Sucursal en España | Avenida Diagonal,640, 5a Planta D,08017,Barcelona, Spain | Servicio Ejecutivo de la Comisión de Prevención de Blanqueo de Capitales e Infracciones Monetarias - SEPBLAC |
| China Construction Bank (Europe) S.A. Paris Branch | 86-88 boulevard Haussmann-75008 Paris | Autorité de contrôle prudentiel et de résolution (ACPR) ; Autorité des marchés financiers (AMF) ; Traitement du renseignement et action contre les circuits financiers clandestins (TRACFIN) |
| China Construction Bank (Europe) S.A. Warsaw Branch | ul.Emilii Plater 53, 00-113, Warsaw, Poland | Generalny Inspektor Informacji Finansowej(GIIF) Komisja Nadzoru Finansowego(KNF) |
| China Construction Bank, Agencia de Chile | Isidora Goyenechea 2800, 30th floor, Santiago, Chile | Superintendencia de Bancos e Instituciones Financieras (SBIF) |
| China Construction Bank Corporation, Beijing, Swiss Branch Zurich | Beethovenstrasse 33 8002 Zurich | Swiss Financial Markets Supervisory Authority |

| NAME | ADDRESS | AUTHORITY |
|--|--|--|
| China Construction Bank (DIFC Branch) | 3102-3104, Tower 2, Al Fattan Currency House, DIFC, P.O. Box 128220, Dubai, United Arab Emirates | Dubai Financial Services Authority |
| China Construction Bank (Brasil) Banco Múltiplo S/A | Avenida Brigadeiro Faria Lima 4440, 3º Andar | Banco Central do Brasil |
| | Postcode: 04538-132 | |
| China Construction Bank (Brasil) Banco Múltiplo S/A – Cayman Branch | 103 Church Street, Grand Cayman - KY1 - 1108 | CIMA – Cayman Island Monetary Authority |
| | Postcode: 1108 | |
| China Construction Bank Corporation, New Zealand Branch | Level 29, the Vero Centre, 48 Shortland Street, Auckland, New Zealand | Reserve Bank of New Zealand |
| | Postcode: 1010 | |
| China Construction Bank Corporation, Astana Branch | 16, Dostyk street, Talan Towers, 26 floor, Esil district, Astana city, the Republic of Kazakhstan. | ASTANA FINANCIAL SERVICES AUTHORITY, Supervisory Authority |
| | Postcode: 010017 | |
| China Construction Bank Corporation Labuan Branch | Unit Level 13 (E), Main Office Tower, Financial Park Labuan, Jalan Merdeka, 87000 Federal Territory of Labuan, Malaysia. | Labuan Financial Services Authority |
| China Construction Bank (Asia) Corporation Limited | 28 Floor, CCB Tower, 3 Connaught Road Central, Central, Hong Kong | Hong Kong Monetary Authority |
| China Construction Bank (Asia) Finance Limited | 22/F, CCB CENTRE, 18 WANG CHIU ROAD, KOWLOON BAY, KOWLOON, HONG | Hong Kong Monetary Authority |
| China Construction Bank (London) Limited | 111 Old Broad Street, London, EC2N 1AP, UK | Prudential Regulation Authority; Financial Conduct Authority |
| China Construction Bank (Russia) limited | Lubyanskiy proezd, 11/1, bldg 1, 101000 Moscow, Russia | Bank of Russia |
| China Construction Bank (Dubai) Limited | 3102-3104, 31st Floor, Tower 2, Al Fattan Currency House, DIFC, PO Box 128220, Dubai, UAE | Dubai Financial Services Authority |

| NAME | ADDRESS | AUTHORITY |
|---|---|--|
| China Construction Bank (Europe) S.A. | 1 Boulevard Royal L-2449 Luxembourg, Luxembourg | Commission De Surveillance du Secteur Financier |
| China Construction Bank(New Zealand) Limited | Level 29, the Vero Centre, 48 Shortland Street, Auckland 1010 POBOX 305, Shortland Street, Auckland 1140 | Reserve Bank of New Zealand |
| China Construction Bank(Malaysia) Berhad | Ground Floor, South Block, Wisma Selangor Dredging, 142-A Jalan Ampang, 50450, Kuala Lumpur, Malaysia | Bank Negara Malaysia |
| CCB International | 34/F, Two Pacific Place, 88 | Securities and Futures Commission |
| Sino-German Bausparkasse Co. Ltd. | 27th Floor, Plus 1 No. 19, Nanjing Road, Hexi District, Tianjin | CBRC Tianjin Office PBOC Tianjin Branch |
| | Postcode: 300203 | |
| CCB Principal Asset Management Co., Ltd. | 10/F, Wynn and International Finance Centre, No. 7, Finance Street, Xicheng District, Beijing | China Securities Regulatory Commission |
| CCB Financial Leasing Corporation Limited | 6th Floor, 1-4, Naoshikou Street, Xicheng District, Beijing, China | CBRC Beijing Office Business Management Department of the People's Bank of China |
| | Postcode: 100031 | |

Remark:

The People's Bank of China is called PBOC for short.